SEC Form 4

FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exc	hange Act of 1

934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Ferson			2. Issuer Name and Ticker or Trading Symbol <u>COOPER COMPANIES, INC.</u> [COO]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
<u>Andrews Brian G</u>			<u>Coor En Commune, mo.</u> [000]		Director	10% Owner		
(Loot) (First) (Middle)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X	Officer (give title below)	Other (specify below)		
(Last) (First) (Middle) C/O COOPERCOMPANIES		(midule)	09/07/2021		EVP, CFO & Treasurer			
6101 BOLLINGER CANYON ROAD, SUITE 500		OAD, SUITE 500						
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) SAN RAMON		94583		X	Form filed by One Rep	orting Person		
SAN RAMON	CA	94585			Form filed by More that	n One Reporting		
(City)	(State)	(Zip)			Person			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	09/07/2021		М		2,396	A	\$119.89	4,295.542	D	
Common Stock	09/07/2021		S		2,396	D	\$454.5	1,899.542	D	
Common Stock	09/07/2021		М		2,567	A	\$162.28	4,466.542	D	
Common Stock	09/07/2021		S		1,060	D	\$451.337(1)	3,406.542	D	
Common Stock	09/07/2021		S		1,507	D	\$452.177 ⁽²⁾	1,976.509 ⁽³⁾	D	
Common Stock								796.347	Ι	Rollover IRA
Common Stock								283.25	Ι	Roth IRA
Common Stock								220.976	Ι	Traditional IRA

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Options (Right to Buy)	\$119.89	09/07/2021		М			2,396	(4)	12/11/2023	Common Stock	2,396	\$0.00	0.00	D	
Stock Options (Right to Buy)	\$162.28	09/07/2021		М			2,567	(5)	12/09/2024	Common Stock	2,567	\$0.00	0.00	D	

Explanation of Responses:

1. The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$450.81 to \$451.75. The reporting person undertakes to provide to The Cooper Companies, Inc., any security holder of The Cooper Companies, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each

separate price within the ranges set forth in the footnotes to this Form 4. 2. Sale price represents an average sale price between \$451.82 and \$452.61.

3. Includes 28.194 shares acquired under the COO ESPP on 2/1/2021 and 48.773 shares acquired under the COO ESPP on 5/3/2021.

4. Vests 480 share(s) on 11-Dec-2014, 479 share(s) on 11-Dec-2015, 479 share(s) on 11-Dec-2016, 479 share(s) on 11-Dec-2018

5. Vests 514 share(s) on 09-Dec-2015, 513 share(s) on 09-Dec-2016, 514 share(s) on 09-Dec-2017, 513 share(s) on 09-Dec-2018, 513 share(s) on 09-Dec-2019

Remarks:

/s/ Brian G. Andrews

** Signature of Reporting Person Date

09/07/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.