SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ad Drury Mar	dress of Reportin <u>k J</u>	F (2. Date of Event Requiring Stater Month/Day/Yea)2/15/2020	nent	3. Issuer Name and Ticker or Trading Symbol <u>COOPER COMPANIES, INC.</u> [COO]					
(Last)(First)(Middle)C/O COOPERCOMPANIES6101 BOLLINGER CANYON ROAD, SUITE 500					4. Relationship of Reporting Person(s) to Issue (Check all applicable) Director 10% Own X Officer (give title Other (spe below) below) VP, General Counsel & Sec.		er cify 6. I	Amendment, Date of Original Filed nth/Day/Year) ndividual or Joint/Group Filing (Check licable Line)		
(Street) SAN CA 94583 RAMON					v P, General Counsi	el & Sec.		X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					. Amount of Securities eneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock					760	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securi Underlying Derivative Securit	rity (Instr. 4) Conv or Ex		ise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Restricted Stock Units		(2)	(3)	Common Stock	304	(1)	D			
Restricted Stock Units		(4)	(3)	Common Stock	456	(1)	D			
Restricted Stock Units			(5)	(3)	Common Stock	653	(1)	D		
Restricted Stock Units			(6)	(3)	Common Stock	784	(1)	D		
Restricted Stock Units		(7)	(3)	Common Stock	903	(1)	D			

Explanation of Responses:

1. RSU Exercise Price: There is no exercise price associated with the grant of Restricted Stock Units.

2. 12/9/2015 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/17, 1/8/18, 1/8/19, 1/8/20 and 1/8/21.

3. RSU Expiration Date: This award has no expiration date. Units will either vest or be forfeit.

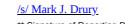
4. 12/13/2016 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/18, 1/8/19, 1/8/20, 1/8/21 and 1/8/22.

5. 12/12/2017 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/19, 1/8/20, 1/8/21, 1/8/22 and 1/8/23.

6. 12/11/2018 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/20, 1/8/21, 1/8/22, 1/8/23 and 1/8/24.

7. 12/10/2019 RSU Grant - Vesting Date: The grant vests in equal portions on the following dates: 1/8/21, 1/8/22, 1/8/23, 1/8/24 and 1/8/25.

Remarks:



02/21/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.