SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol COOPER COMPANIES, INC. [COO]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Ricupati Ago	<u>stino</u>				Director	10% Owner				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	A b	Officer (give title elow)	Other (specify below)				
C/O THE COOR		· · · · · · · · · · · · · · · · · · ·	04/15/2021	S	SVP, Fin & Tax; Chief Actg Off					
6101 BOLLINGER CANYON ROAD, SUITE 500			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) SAN RAMON					Form filed by One Reporting Person					
					Form filed by More than Person	One Reporting				
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	04/15/2021		М		832	A	\$131.6	2,708.824	D	
Common Stock	04/15/2021		S		832	D	\$398.033(1)	1,876.824	D	
Common Stock	04/15/2021		М		318	A	\$162.28	2,194.824	D	
Common Stock	04/15/2021		S		318	D	\$398.033(1)	1,876.824	D	
Common Stock	04/15/2021		М		704	Α	\$175.31	2,580.824	D	
Common Stock	04/15/2021		S		704	D	\$398.033(1)	1,876.824	D	
Common Stock	04/15/2021		S		789	D	\$397.65	1,087.824	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Options (Right to Buy)	\$162.28	04/15/2021		М			318	(2)	12/09/2024	Common Stock	318	\$0.00	2,865	D	
Stock Options (Right to Buy)	\$131.6	04/15/2021		М			832	(3)	12/09/2025	Common Stock	832	\$0.00	3,330	D	
Stock Options (Right to Buy)	\$175.31	04/15/2021		М			704	(4)	12/13/2026	Common Stock	704	\$0.00	2,819	D	

Explanation of Responses:

1. The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$398.01 to \$398.054. The reporting person undertakes to provide to The Cooper Companies, Inc., any security holder of The Cooper Companies, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each seperate price within the ranges set forth in the footnotes to this Form 4.

2. Vests 637 share(s) on 09-Dec-2015, 637 share(s) on 09-Dec-2016, 636 share(s) on 09-Dec-2017, 637 share(s) on 09-Dec-2018, 636 share(s) on 09-Dec-2019

3. Vests 833 share(s) on 09-Dec-2016, 832 share(s) on 09-Dec-2017, 833 share(s) on 09-Dec-2018, 832 share(s) on 09-Dec-2019, 832 share(s) on 09-Dec-2020

4. Vests 705 share(s) on 13-Dec-2017, 705 share(s) on 13-Dec-2018, 704 share(s) on 13-Dec-2019, 705 share(s) on 13-Dec-2020, 704 share(s) on 13-Dec-2021

Remarks:

<u>/s/ Agostino Ricupati</u>

** Signature of Reporting Person

04/15/2021 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.